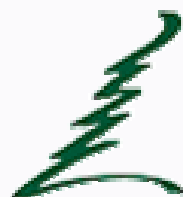


EVERGREEN STATE SOCIETY



Investment Basics for
Nonprofit Organizations

By: Roy A. Hamrick, CFA

HAMRICK INVESTMENT COUNSEL

Registered Investment Adviser

AGENDA

- How Are Investments Relevant?
- Roles & Responsibilities
- Investment Policy
- Investment Basics
- Kinds of Investments
- Handling of Accounts
- Additional Resources
- Current Outlook

HOW ARE INVESTMENTS RELEVANT?

- Cash Flow & Operating Reserves
- Gifts
- Nonprofits with Endowments
- Foundations
- Capital Campaigns
- Building Funds

ROLES & RESPONSIBILITIES

BOARD



**INVESTMENT
COMMITTEE**



**INVESTMENT
ADVISER**



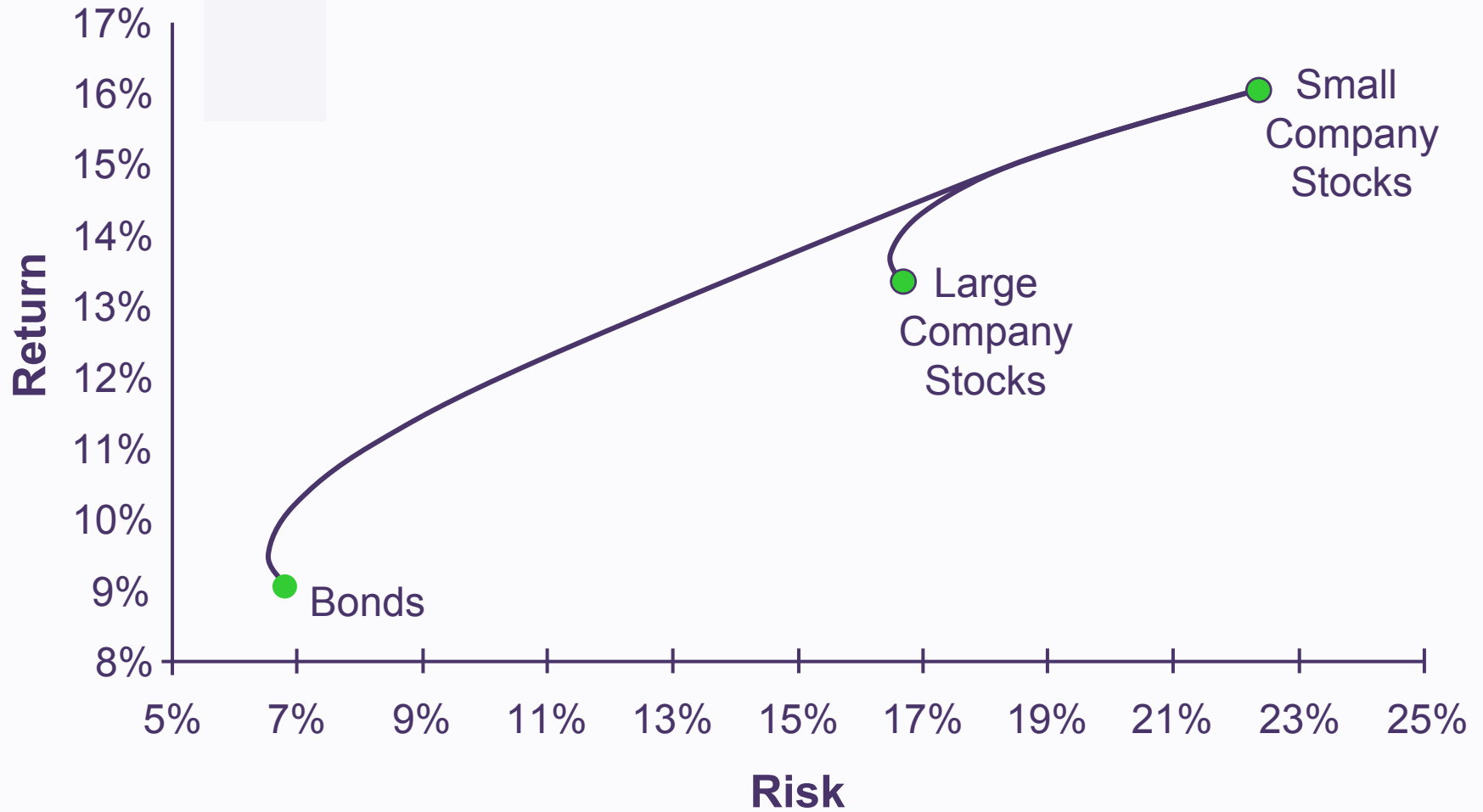
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INVESTMENT POLICY



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INVESTMENT BASICS

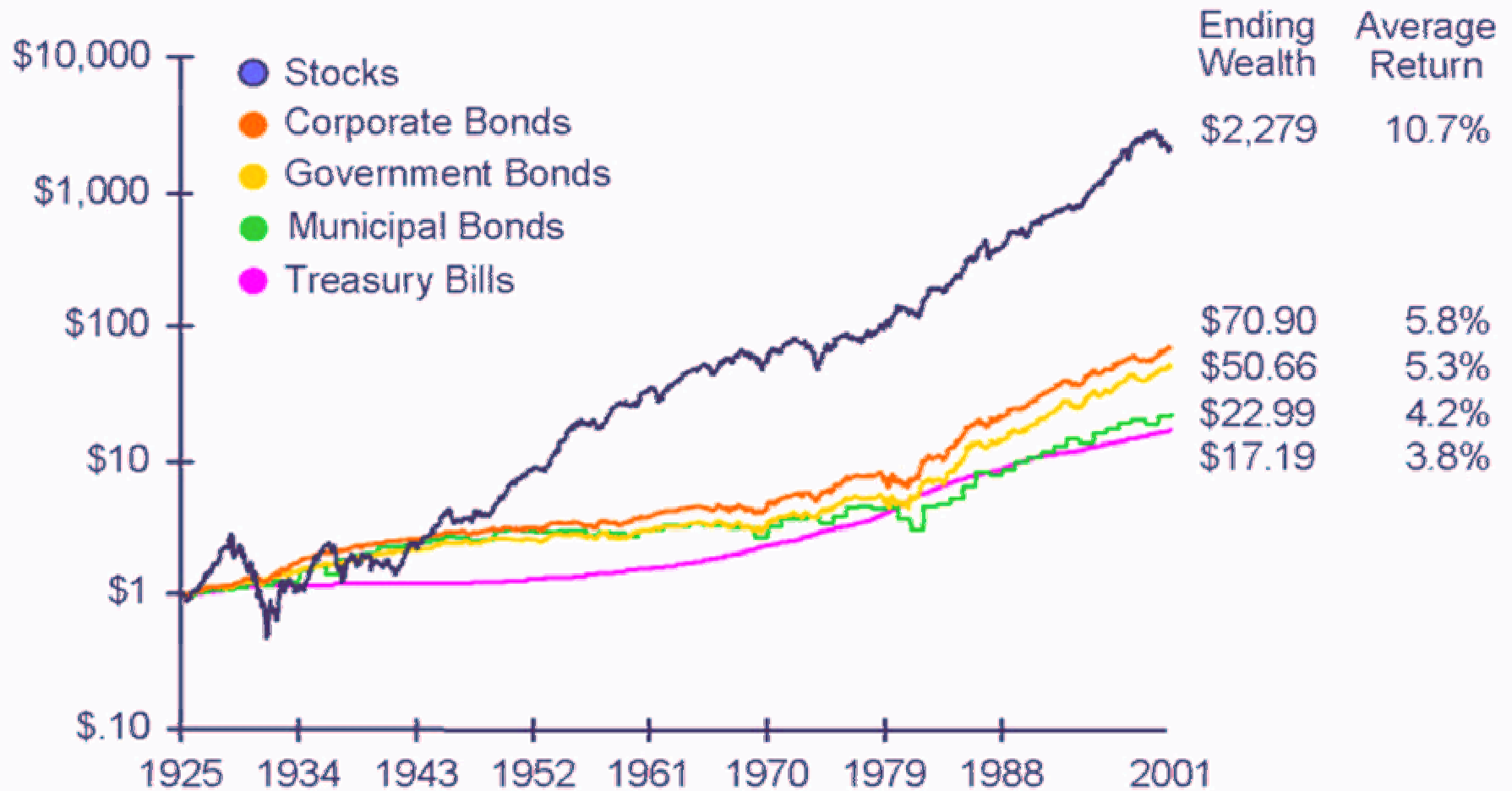


KINDS OF INVESTMENTS

Bonds & Stocks (Debt & Equity)



MARKET PERFORMANCE



DEBT

- Money Market Funds
- Certificates of Deposit (CD's)
- US Government Securities
- Corporate Bonds
- Municipal Bonds



EQUITY

- Common Stocks
 - Large Cap / Small Cap
 - Growth / Value
- Real Estate Investment Trust's (REIT's)



OTHER INVESTMENTS

- Mutual Funds
- Convertible Securities
- High Yield Bonds
- Options and Derivatives
- Hedge Funds and Trading Strategies
- Venture Capital
- Socially Responsible Investments
- International Securities

HANDLING OF ACCOUNTS

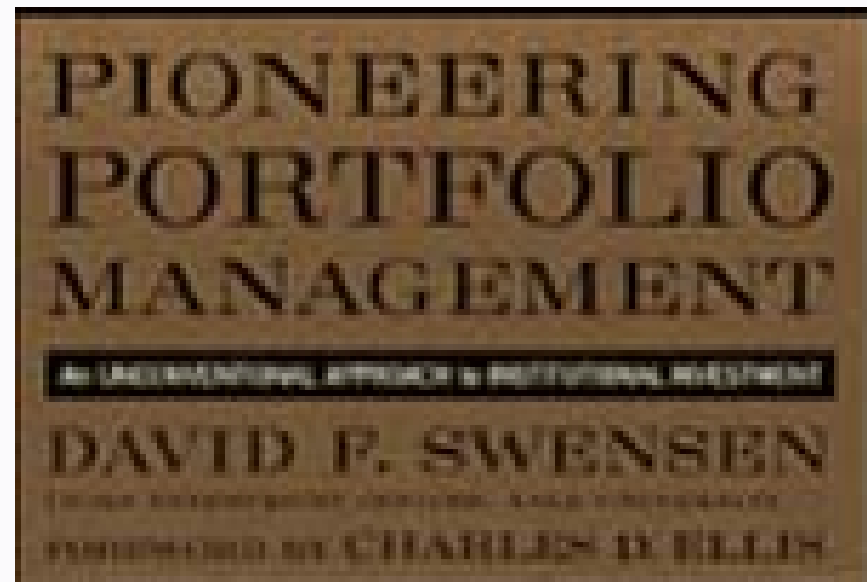
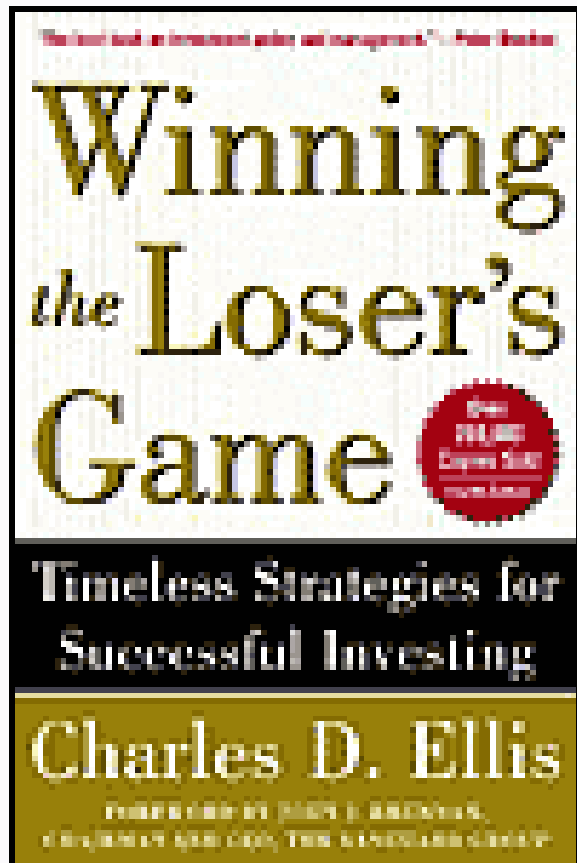
- Under \$100,000
- \$100,000 - \$2,000,000
- \$2,000,000+

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ADDITIONAL RESOURCES





U.S. Securities and Exchange Commission

..... Headlines

SEC Action Against
UBS PaineWebber
...

SEC Action Against
Deutsche Bank
Advisory Unit
...

SEC Sues NCFE Exec
for Role in \$1 Billion
Fraud
...

FAQ re: Auditor
Independence

Spotlight

About the SEC

- [What We Do](#)
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- [Laws & Regulations](#)

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Filings & Forms (EDGAR)

- [Quick EDGAR Tutorial](#)
- [Search for Company Filings](#)
- [Descriptions of SEC Forms](#)

[more...](#)

Regulatory Actions

- [Proposed Rules](#)
- [Final Rule Releases](#)
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Staff Interpretations

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[2003 Proxy Season Expected to Set Records](#) • [Statement from Forum President on Proxy Voting Disclosure](#)

information contacts, resources and more...

The Social Investment Forum site offers comprehensive information, contacts & resources on socially responsible investing. If you're a first timer, check out our Online Guide to SRI below for a quick introduction to SRI.

Just jump in! You'll find lots of resources to help you take the next step!

on shareholder advocacy.

Socially Responsible Investing 

quick links

- [Directory: Financial Services](#)
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Stocks and Mutual Funds

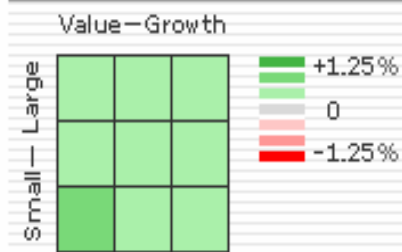


Five Undiscovered Picks from Small-Cap Managers

Utilities, burgers, and organic food among their
favorites.

by Christopher Davis, Fund Analyst

Morningstar Market Indexes



Daily Return% Tuesday
Close

20min update	Chg	%Chg	Value
Morningstar	7	0.3	2,330
DJIA	23	0.2	9,340

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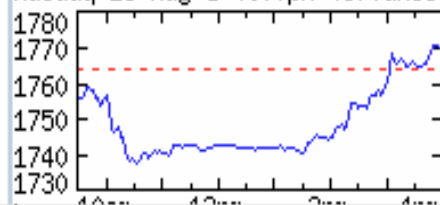
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Market Summary

Nasdaq 26-Aug @ 4:00pm (C)Yahoo!




Top Stories

[Stocks End Up After a Late-Day Spurt](#)

Tue 4:40pm ET - Reuters

U.S. stocks ended slightly higher on Tuesday, lifted by a late-day buying spree as investors digested a batch of broadly positive economic

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CURRENT OUTLOOK



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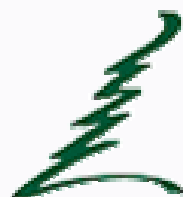
WELCOME

Hamrick Investment Counsel manages portfolios and provides advice for individuals and families, pension and profit-sharing plans, trusts, estates, charitable organizations, endowments, corporations and other business entities.

Roy A. Hamrick, C.F.A., sole proprietor, established his business on January 1, 1988. He is registered as a Securities Investment Adviser with the Securities Division of the Department of Financial Institutions of the State of Washington and with the State of California Department of Corporations.

Please contact us to receive a complete brochure/disclosure statement and fee schedule.

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